



## INVESTMENT OBJECTIVES

1. Investment Horizon

- Long Term  
 Medium Term  
 Short Term  
 Others \_\_\_\_\_ (Please specify)

2. Investment Experience

- Nil  
 < 1 year  
 1 – 3 years  
 > 3 years

3. Investment Types

- Capital Appreciation  
 Income  
 Others \_\_\_\_\_ (Please specify)

## RELATIONSHIP PARTICULARS

1. Are you related to any staff or Director or Dealer's Representative of Inter-Pacific Securities Sdn Bhd?

- Yes.  
 No.

2. Are you related to any director, employee or Dealer's Representative of any Participating Organisation of Bursa Malaysia?

- Yes. Name of Company: \_\_\_\_\_  
 No.

3. \* Details of related parties with trading accounts maintained with Inter-Pacific Securities Sdn Bhd?

- i. Name :  
ii. Relationship :  
iii. Account No. :
- i. Name :  
ii. Relationship :  
iii. Account No. :
- i. Name :  
ii. Relationship :  
iii. Account No. :

- No.

4. Do you have an existing account with Inter-Pacific Securities Sdn Bhd?

- Yes. Account No.: \_\_\_\_\_  
 No.

5. Are you a guarantor for any account held in Inter-Pacific Securities Sdn Bhd?

- Yes. Account No.: \_\_\_\_\_ Account Name: \_\_\_\_\_  
 No.

## TERMS AND CONDITIONS FOR OPENING OF TRADING ACCOUNT

1. We hereby:
  - 1.1. request you to open a trading account under the account name set out above (the "Account") which definition shall where the context so admits include but not limited to a CDS Account or any other securities account that we may have opened with you.
  - 1.2. undertake to abide by all laws, rules and regulations relating to our trading activities with you (including without limitation the Rules of Bursa Malaysia Securities Bhd (BMSB), the Rules of Bursa Depository Sdn Bhd and all by-laws thereto) now in force or from time to time amended, revised or supplemented and which we hereby confirm and acknowledge that we are fully aware and cognisant thereof prior to and/or upon opening of the Account. Our attention has been drawn to the full disclosure requirements and that our account may be frozen if we fail to make the disclosure as and when requested by BMSB.
  - 1.3. declare that we have the power to make this application, execute and deliver this application and to perform and comply with all our obligations hereunder and in relation hereto and that we have or will have obtained on or before the date of this application all necessary corporate and/or shareholders' approvals and shall have taken all other actions therefor and in connection therewith.
  - 1.4. declare that:-
    - (a) We have not been wound up or under receivership and have no knowledge of any pending winding up proceedings commenced against us; and/or
    - (b) We have not been listed as a defaulter by Bursa Malaysia.

Upon the occurrence of any of the aforesaid event, we agree and undertake to immediately cease trading and shall forthwith notify you in writing of the occurrence of the event. We agree that until written notice is given to you, all orders carried out by us under our corporate trading account shall be valid and enforceable on us.
  - 1.5. declare and undertake that all particulars and information given in this application form are true and correct and that we have not withheld any material fact or information from you which would affect your opening of Account and provision of facilities in relation thereto. We hereby authorise you to verify at any time and from time to time any or all of the particulars and/or information furnished herein in such manner as you shall deem fit. We further declare we are trading on our own behalf and not as nominee for any other person.
  - 1.6. undertake to forthwith furnish you with such additional particulars and information as you may require at any time and from time to time.
  - 1.7. agree that all documents provided by us for this application will upon your receipt thereof become your property and will not be returned to us.
  - 1.8. declare and agree that our application herein is subject to your approval and you are absolutely entitled at your sole discretion to reject our application or impose such conditions and/or restrictions as you may deem fit without giving any reasons therefor.
2. In the event the Account is opened pursuant to the application herein we hereby further agree to the following:-
  - 2.1. Notwithstanding that we may have been granted Discretionary Financing by you, we undertake to make immediate payment for delivery of securities purchased by us and/or on our behalf when the same is due or upon any demand made by you and you shall be entitled to apply such payment in any manner as you may in your absolute discretion deem fit.
  - 2.2. We undertake to pay any security deposit required of us in relation to the Account including without limitation deposit for purchase contracts made/transacted by us and/or on our behalf.
  - 2.3. We undertake to deliver to you promptly any and all transfer deeds and certificates or other documents in relation thereto as you may require from time to time. In the event that any of the transfer deeds and certificate or documents of title of securities are subsequently discovered to be forged or stolen or missing. We agree and undertake to replace the same immediately failing which we shall deem to have authorised you to buy in the same to cover our position and we shall be liable for all the costs incurred through the buying in.
  - 2.4. We authorise you to sell out or buy in such securities as may be required to clear our position with you (the definition 'securities' mentioned in this Agreement hereafter includes but not limited to shares in the CDS Account).
  - 2.5. We agree to pay all such fees, charges and/or late payment charges (LPC) whatsoever that may be imposed by you from time to time in relation to any debit balance outstanding in the Account including without limitation:-
    - (i) late payment charges at the maximum of 10% p.a. calculated on a daily basis until the date of full payment to you (whether before or after judgement being entered by you against us in any legal proceedings) or at such other rate as you shall determine from time to time.
    - (ii) handling fees; and
    - (iii) service charges.
  - 2.6. We agree that late payment charges (LPC) on any balance outstanding under the Account for the time being owing to you shall not be capitalised and added for all purposes to the balance outstanding under the Account.
  - 2.7. We authorise you to mortgage, pledge or hypothecate any Shariah compliant collateral shares to any Islamic bank(s), Islamic window(s) and/or Islamic financial institution(s).
  - 2.8. We authorise you to post our Contract Notes, Contra Statements, Monthly Statement of Accounts, letters and/or other documents to us (save and except the documents as stipulated in Clause 2.16 below) at our address stated herein and/or

at our last known address by ordinary post, and the same shall be deemed received by us upon the expiration of three (3) days from the date of posting. Any certification made by any of your officer that the same has been properly posted to us on a specified date shall be deemed sufficient proof of same.

- 2.9. We declare and agree that we shall notify you in writing of any disputes, discrepancies, incorrectness or irregularities arising from our Contract Notes, Contra Statements, Monthly Statement of Accounts, letters and/or other documents within 24 hours from the date of receipt or deemed receipt of the same by us, failing which, we shall have accepted, agreed and admitted to the correctness, truth, veracity and accuracy as to the price and quantity of shares bought or sold as stated therein as well as to the amount of monies due and owing by us to you, and we shall in no manner thereafter dispute or challenge the same in any Court of Law or otherwise.
- 2.10. We authorise you to deal, in any manner as you shall deem fit, with all or any of the securities purchased for or under the Account for which we have not remitted payment.
- 2.11. We hereby agree and authorise you to debit and/or transfer any losses, expenses, late payment charges (LPC) and whatsoever charges howsoever occurring arising from the rejection of trades from our margin account and/or provided by financial institutions, etc for whatsoever reason to our corporate trading account currently held by you. We further agree to abide by the terms and conditions as imposed by you for the operation of the corporate trading account and for the standing instructions given aforesaid.
- 2.12. We authorise you to withhold as you deem fit all or any of the securities purchased and paid by us in the Account for until the full and final settlement of any and/or all contra losses/charges/late payment charges (LPC)/costs in the Account. We hereby agree that you have a lien in your favour over all or any of our securities in your possession.
- 2.13. You shall have the right at any time hereafter with or without any notice to us or our concurrence to set-off:-
  - (i) any of our liabilities to you liquidated or otherwise and whether such liabilities are in respect of monies payable hereunder or otherwise howsoever due from us to you against/from the proceeds of the sales of our securities in the Account;
  - (ii) any liability whether hereunder or otherwise howsoever arising and whether present or future, actual or contingent, primary or as surety owned by us to you against/from any amounts due or held in our account(s) or our trust account of any other account that we are beneficially entitled;
  - (iii) and/or transfer the credit balances in the Account in or towards satisfaction of all monies due and owing by us to you.
- 2.14. In addition and without prejudice to any of the above, we hereby expressly, unconditionally and irrevocably authorise you to debit our account(s) with you including but not limited to the Account with all or any amounts due or owing from us whether arising from or in respect of purchases of securities by us or contra losses incurred by us and/or all other monies payable by us to you or may be due from us to you from time to time.
- 2.15. We shall observe and comply with all and any policy(ies) and/or procedure(s) established by you from time to time and/or at any time.
- 2.16. In the event we fail, refuse and/or neglect to collect or cause to be collected any certificates or other documents or indicia of titles of securities purchased by us within three (3) calendar days from the date of allocation of the securities to us, you are absolutely entitled, but not obliged, to open a Shares Custody Account on our behalf and thereafter transfer our uncollected securities to our Shares Custody Account or such other share custody account as you may open on our behalf. We covenant to be bound and to abide by your terms and conditions as amended from time to time which govern the holder of a share Custody Account including without limitation the imposition of service charges for opening and operation of a Shares Custody Account.
- 2.17. We declare and agree that orders/instructions made/given by us which includes but not limited to the dealing of securities (or purported to be made/given by us) through the telephone or other means of instantaneous communication or otherwise shall be good and effective and shall be irrevocable and deemed confirmed unless contrary/other orders/ instructions are made/given by us immediately or within 24 hours PROVIDED ALWAYS that you may at your absolute discretion allow us to revoke the same if the same is capable of revocation. We further declare and agree that the risks of misunderstanding, errors and/or instructions by unauthorised parties shall fall on us and that you shall not be liable for any losses, damages, liabilities or expenses whatsoever that may arise from such misunderstanding error and/or unauthorised instructions and/or in your exercise of any discretion hereunder.
- 2.18. We hereby agree and irrevocably and unconditionally consent that the telephone conversations between us and the Dealer/Remisier may be recorded for the purpose of authentication of orders and other instructions and such recordings and transcripts being used as evidence in any dispute.
- 2.19. We declare and agree that you may at any time and your absolute discretion suspend or close the Account with or without giving any reason therefor.
- 2.20. As investment in securities involve risks, we agree and consent that you shall have the right at any time to limit purchases or sales ordered by us and you shall not be liable for any loss arising from any such limit on purchases or sales ordered by us.
- 2.21. We declare that we are aware of the most recent trading guidelines issued by you and have read and understood the said guidelines and agree that we will not make any claims against you in the event we suffer loss or damages as a consequence of our failure to observe or comply with the trading guidelines now existing or such other trading guidelines now existing or such other trading guidelines issued by you from time to time.
- 2.22. We undertake to be responsible for the safekeeping of any identification document and/or Pin number issued by you. We understand and agree that we shall not hold you responsible for any losses/damages incurred due to unauthorised use of the aforesaid identification document and/or Pin number.
- 2.23. We agree that we shall have no claim whatsoever against you for any payments in respect of securities which shall also include dealing in the CDS account sold by us or for delivery of certificates or other documents or indicia of titles of

securities purchased by us in the event we have authorised our Dealer's Representative or any other person to collect payments and/or transfer deeds and certificates or other documents or indicia of titles of securities on our behalf from you.

- 2.24. We agree and acknowledge that our Dealer/Remisier is not authorised to offer trading suggestions, recommendations or information on the Company's behalf. Any such suggestions, recommendations or information if given shall therefore be deemed as having been made in our Dealer/Remisier own personal capacity and we shall not hold you liable for any losses (including without limitation to any loss of opportunity) we may suffer if we rely on these suggestions, recommendations or information.
- 2.25. We acknowledge that our Dealer/Remisier are not authorised to waive or vary any of the terms and conditions herein stated nor can they accept any liability on your behalf.
- 2.26. We agree at all times to fully and effectively indemnify you against and keep you fully and effectively indemnified against all actions, suits, proceedings, claims, demands, losses, charges, penalties, fee, fines, costs, debts, late payment charges (LPC), legal fees and expenses (on a full indemnity basis) whatsoever made, taken, brought, instituted, imposed, suffered, incurred, prosecuted or payable in any way howsoever against or by you to any person arising out or incidental to all matters relating to the Account including without limitation the agreements, undertaking and covenants herein. Our indemnity herein shall remain in full force and effect and shall continue to subsist hereafter notwithstanding the suspension, termination or closure of the Account.
- 2.27. Any notice or communication which may be given hereunder shall be in writing and deemed duly given to the other party if delivered by hand or sent by ordinary post to the mailing address as stated hereunder or at the last known address and shall be deemed to have been served at the time when it is delivered if by hand if posted three (3) days from the date it is posted.
- 2.28. Notwithstanding any provision of this application to the contrary, you shall not in any event be liable to us for any special, direct, punitive or consequential loss or damage of any kind whatsoever, whether or not foreseeable, even if you have been advised of the likelihood of such loss or damage and regardless of whether the claim for loss or damage is made in negligence, for breach of contract or otherwise arising out or incidental to all or any matters relating to the Account. This disclaimer shall remain in full force and effect and shall continue to subsist hereafter notwithstanding the suspension, termination or closure of the Account.
- 2.29. We shall forthwith notify you in writing of any changes of our address on our part. Should we fail to notify you or should you fail to receive our notification, any notice, contract notes, advices, reports, statement of accounts or demands issued by you to us sent, delivered or served by you to the address last known to you by ordinary post or otherwise shall be deemed duly served and received by us accordingly unless otherwise proved by us.
- 2.30. Notwithstanding anything contrary contained herein, we agree that the service of any documents and/or legal process which includes pleadings, all forms of originating process, interlocutory applications of whatever nature, affidavits, orders and such other documents which are required to be served under the Rules of High Court, 1980, Subordinate Court Rules 1980, Companies Act 2016, Bankruptcy Act 1967 and Debtors Act 1957 and the rules made thereunder may be served by prepaid registered post sent to the address as given by us in the application form or the new address as provided and shall be deemed to have been duly served and duly received by us upon the expiry of three (3) days after the same is effected.
- 2.31. Failure or delay on your part to insist in any one or more instance upon the performance of any provisions contained herein shall not be construed as a waiver or relinquishment of any of your right to future performance of such provisions or any of our obligation in respect of such future performance shall continue in full force and effect.
- 2.32. In the event that any or more of the provisions contained herein shall for any reason be held to be unenforceable, illegal or otherwise invalid in any respect under the law governing the terms herein (being the law of Malaysia) or its performance, such underforceability, illegality or invalidity shall not affect any other provisions herein and the provisions herein shall then be construed as if such unenforceable, illegal or invalid provisions had never been contained herein.
- 2.33. In the event of any default or breach of any of the provisions therein by us, without prejudice to any other rights and remedies available to you hereunder or at law you shall be entitled (or any time thereafter) to commence legal proceeding to recover our debts with you, we shall be responsible to bear all your legal cost/fees (on a solicitor and client basis) and all disbursement incurred by you as a result of you bringing or commencing any legal action against us for the purpose of recovering any monies due and owing by us to you and/or for the enforcement of any of your rights stated herein.
- 2.34. You are authorised to set-off and/or transfer the credit balances in the Account in or towards satisfaction of all monies due and owing by us to you.
- 2.35. We hereby declare and agree that a certificate and/or statement duly certified by any of your officers as to the money and liabilities for the time being incurred or due to you by us shall be final and conclusive evidence of our indebtedness to you and shall be binding on us at all and any time and/or in any legal proceedings against us and/or our heirs, personal representatives, administrators and/or successors-in-title and assign.
- 2.36. We hereby declare and agree that so long as any monies/liabilities remain owing/outstanding or contingent, you shall have a lien therefor on all monies now or hereafter standing to our credit with you on any account (without prejudice to any and all rights which you may otherwise have under any statute or common law or in equity) and you shall also have a lien on any stocks or share certificates or other securities (whether comprised in the Account or otherwise) and/or other property belonging to us or under our control which have been deposited/pledged with you for any purpose or in any CDS account which we have a legal or beneficial interest. Upon our failure to satisfy any of the outstanding amount/liabilities (whether actual or contingent or jointly with any other party) when due or on demand, you shall be further entitled to and is hereby irrevocably authorised to sell or otherwise dispose of any or all of the said stocks, share certificates, or other securities or property upon giving us seven (7) days' notice and to apply the proceeds of the sale to satisfy any monies owing and/or any liabilities still outstanding.
- 2.37. We declare and acknowledge that we have received a copy of the Contra Guidelines upon opening of the corporate trading account.
- 2.38. You are authorised to disclose any information on us to any relevant authorities.
- 2.39. We hereby authorise and empower our Dealer/Remisier (herein referred to as "authorised representative") on our behalf to:

- (a) deliver transfer deeds and certificates and/or other documents of title of securities sold by us to you.
- (b) deliver Transfer Of Securities Request Form and/or Securities Deposit Request Form duly completed by us.
- (c) collect cheque(s) and monies payable to us.
- (d) collect transfer deeds and certificates and/or other documents of title of securities purchased and paid by us.
- (e) collect any other documents, letters, forms, receipts other than stated aforesaid.
- (f) make payment for securities purchased by us.
- (g) carry out all and any other matters (administrative or otherwise) relating to our trading activities with you.

Provided always that we shall be deemed to have revoked this authorisation if a written instruction stating otherwise is given by us to you.

We hereby agree and understand that as a result of our said authorisation to our authorised representative to perform the functions as mentioned in clause 2.39 (a) to (g) above, there may be risks of the documents and cheques being lost, misappropriated or otherwise mishandled while they are in the custody of our authorised representative.

In consideration of you agreeing to comply with our authorisation of the acts empowered as stated under clause 2.39 (a) to (g) above, we hereby agree and undertake to the followings:

- (i) We shall have no claims against you for all and any matters relating to this authorisation.
- (ii) We hereby agree and undertake that you shall not be held liable or responsible to us for any losses, damages, claims and all other liabilities of whatsoever nature and descriptions arising from the above authorisation.

- 2.40. Unless provided otherwise in these Terms and Conditions, in the event we shall request for the replacement of our authorised representative for any reason whatsoever and/or there is any change or replacement of our authorised representative for any reason whatsoever, we hereby fully acknowledge and confirm that these Terms and Conditions shall remain binding and enforceable on us.
- 2.41. We hereby agree that you may in your absolute discretion with notice (whether in writing or otherwise) amend or vary the terms and conditions hereunder at any time or from time to time and/or impose additional clauses which shall bind us as if the amendments and additional clauses have been originally set out in these Terms and Conditions and if we continue usage of the Account it shall be deemed that the amendments, variations or changes have been consented to by us.
- 2.42. These Terms and Conditions and performance hereunder by the parties shall be governed by and construed in accordance with the laws of Malaysia and the parties hereto hereby submit to the non-exclusive jurisdiction of the Courts of the States of Malaya in all matters connected with the obligations and the liabilities of the parties under these Terms and Conditions. We also agree that the Subordinate Courts or any High Court in Malaysia shall have jurisdiction to hear any dispute or claim relating to these Terms and Conditions.
- 2.43. Time wherever mentioned shall be of the essence of these Terms and Conditions.
- 2.44. We shall not assign or transfer of our rights or obligations under these Terms and Conditions or any contract thereunder, except with your prior written consent. We hereby expressly give our consent to you to assign or transfer any of your rights and obligations under these Terms and Conditions or any contract thereunder to any other party.
- 2.45. Except as specifically permitted in these Terms and Conditions, no provision, term or clause can be, nor be deemed to be waived, altered, modified or amended unless acknowledged in writing by you that such waiver, alteration, modification or amendment shall take effect and bind both of us.
- 2.46. We further agree that these Terms and Conditions, inclusive of the Application Form, constitutes the complete statement of our agreement with you in respect of the opening of the Account and that this agreement does not include any prior or contemporaneous promises, representations or descriptions regarding the opening of the Account even if they are contained in any materials provided by you.
- 3. Where the context required hereunder words importing the singular shall include the plural and vice versa.
- 4. This agreement shall upon your acceptance thereof be legally binding on us and/or our successors-in-title and assigns.
- 5. We hereby declare and agree that we have read and understood the Terms and Conditions hereof and further declare and agree that we have not been induced into entering this contract nor any other or all contracts or contract which may now or hereafter be entered by us subsequent and pursuant by means of duress, coercion and/or undue influence on your part or on the part of your agents, representatives, employees or officers and that we shall not be entitled to allege otherwise. We further declare that we are fully aware of the risks involved in share market transactions and accept sole responsibility therefor.

## **CONTRA GUIDELINES**

- 1. Pursuant to Rules 9.09(3) & 9.10 of the Rules of Bursa Malaysia Securities Berhad, the guidelines for settlement by way of contra for contracts done on ready basis are as follows:-
  - (i) Settlement by way of 'contra' shall be effected only on subsequent sale made not later than the second (2nd) market day (T+2) from the date of purchase.
  - (ii) Charges shall be imposed in respect of the 'contra' of purchase against subsequent sale made after the third (3rd) market day (T+3) from the date of purchase or against subsequent sale to close-off the purchase position.

- (iii) Any differences such as contra losses shall be settled not later than the third (3rd) market day (C+3) following the date of 'contra'. Late payment charges at prevailing rate up to 10% per annum, calculated on a daily basis will be imposed after C+3. The rate is subject to change from time to time.
- 2. The above Contra Guidelines are subject to change from time to time by the relevant authorities and/or at your discretion. We have been advised to obtain the latest schedule of due dates and payment time frame from you.

### **TRADING IN FOREIGN SECURITIES**

- 1. As and when applicable, We authorise the Company to take all necessary and expedient steps to purchase and/or sell securities listed in any recognised foreign stock exchange/exchanges ("the said foreign trading activities").
- 2. We further undertake to abide by all laws, rules and regulations relating to the said foreign trading activities with the said foreign stock exchange/exchanges, and shall keep the Company fully indemnified against any and/or all actions, claims, penalties, demands, losses, fines, costs, late payment charges (LPC), legal fees and expenses whatsoever made in anyway howsoever against or by the Company arising out of or incidental to all matters relating to the said foreign trading activities. Our indemnity shall continue in full force and shall continue to subsist hereafter notwithstanding the closure or suspension of the said foreign trading activities.

### **ANTI-MONEY LAUNDERING, ANTI-TERRORISM FINANCING AND PROCEEDS OF UNLAWFUL ACTIVITIES ACT 2001 (AMLA)**

- 1. We fully appreciate that the Company is required to comply with the above Act, which amongst others require the Company to verify identify and verify source of funds especially when cash payments are made. Failure to comply may result in criminal sanctions against us and the Company reserves the right to freeze or terminate our account pending supply of all requested particulars and/or verification. In such an event we declare we shall have no claim whatsoever against the Company.

**DECLARATION BY APPLICANT**

We hereby declare and agree that we have read and understood the Terms and Conditions hereof and further declare and agree that we have not been induced into entering this contract nor any other or all contracts or contract which may now or hereafter be entered by us subsequent and pursuant by means of duress, coercion and/or undue influence on your part or on the part of your agents, representatives, employees or officers and that we shall not be entitled to allege otherwise. We further declare that we are fully aware of the risks involved in share market transactions and accept sole responsibility therefor; and we have read and fully understood the Contra Guidelines attached to this Application Form.

We do solemnly declare that the dealings in securities in respect of our trading account are/shall be carried out:-

for us as principal

for and on behalf of the party(ies) set out below, from whom, through whom or on whose behalf the securities are to be dealt with:-

**PARTICULARS**

Name of party(ies) concerned :

\* Company No./NRIC No. :

\* Address/Registered address :

and we make this solemn declaration conscientiously believing the same to be true.

We further confirm that should we fail to disclose that our trading is on behalf of party(ies) other than ourselves, it shall be deemed that we are acting as our own principal. Notwithstanding that we may declare herein that our trading is on behalf of party(ies) other than ourselves, we shall be fully responsible and liable for whatsoever losses and outstanding sum in the trading account.

SUBSCRIBED AND SOLEMNLY )  
declared by \_\_\_\_\_ )  
\_\_\_\_\_ )  
\_\_\_\_\_ )  
this      day of      2      )      .....  
  
(Signature)

\*\* Before me,

Signature of witness : \_\_\_\_\_  
Name of witness : \_\_\_\_\_  
NRIC No. : \_\_\_\_\_  
Address : \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**(NOTE: IN THE EVENT THIS DISCLOSURE FORM IS NOT DULY COMPLETED AND RETURNED TO THE PARTICIPATING ORGANISATION WITHIN FOURTEEN DAYS FROM THE DATE OF RECEIPT, THE CLIENT SHALL BE PRESUMED TO BE TRADING FOR THEMSELVES AND NOT ON BEHALF OF ANOTHER PARTY. BURSA MALAYSIA SECURITIES BERHAD TAKES A SERIOUS VIEW OF ANY MISREPRESENTATION ARISING FROM MAKING AN ERRONEOUS DISCLOSURE OR NOT MAKING A DISCLOSURE AT ALL.)**

\* delete if inappropriate  
tick whichever is appropriate  
\*\* insert name, NRIC No and address of witness who must be the remisier or dealer assigned, a member of the Management of the Participating Organisation or a Commissioner for Oaths



**Note** 1. To process this application. Certified true copies of the following documents are required.

TYPE OF APPLICANT	DOCUMENTS TO BE SUBMITTED
COMPANY INCORPORATED UNDER THE COMPANIES ACT 2016	i. Board Resolution to open / operate Trading Account ii. Constitution iii. Document(s) evidencing the incorporation or registration of the company, the company's current name and registration number iv. Document(s) evidencing the ownership of the company e.g. the latest return of allotment of shares or annual return v. Two (2) original or certified true copies of the list of authorised signatory(ies) and specimen signatures vi. Personal Guarantee by a Director/Power of Attorney (if applicable) vii. Latest audited accounts – 2 financial years
TRUST ACCOUNT (a) Trust Account by individual Trustee(s) (b) Foundation / Trust Funds (Registered Fund) (Not incorporated pursuant to the Company's Act)	i. Trust Deed ii. NRIC of the Trustee/Joint Trustee (NRIC of all Trustees if account is jointly opened by more than one Trustee) i. Trust Deed ii. Certificate of Registration of Trust iii. Board Resolution iv. Power of Attorney (if applicable)
SOCIETY REGISTERED UNDER THE SOCIETIES ACT 1966 OR CO-OPERATIVE SOCIETIES ACT 1948	i. Constitution/By-Laws of the Society ii. Certificate of Registration iii. Resolution of Governing Body (i.e. Committee / Board)
CORPORATIONS INCORPORATED BY AN ACT OF PARLIAMENT OR STATE ENACTMENT	i. The Board/Investment Panel's Resolution ii. Power of Attorney (where applicable) iii. In respect of statutory body other than EPF, LUTH, SOCSO, LTAT a copy of the Act/Enactment incorporating the said body

2. Form that is incomplete, illegible or defaced in any way may result in the application being rejected. Any amendment made must be legible and should be counter-signed by the applicant.
3. All applications must be accompanied with the FMN070 Form.
4. Applicant must affix their respective company rubber stamp if the Board Resolution does not indicate the usage of the common seal.
5. Foreign applicant must submit documents which are equivalent to the above when opening account.

**The Directors  
Inter-Pacific Securities Sdn Bhd 197201001092 (12738-U)**

Date : \_\_\_\_\_

Dear Sirs,

RE: Application to Open Trading Account for \_\_\_\_\_

I hereby request you to approve the application to open a Trading Account for \_\_\_\_\_ with Inter-Pacific Securities Sdn Bhd and to allow me to act as the Dealer's Representative for the abovenamed applicant. As a Dealer's Representative of Inter-Pacific Securities Sdn Bhd, I hereby confirm that all information given herewith by the abovenamed applicant is true and correct to the best of my knowledge. I further confirm the abovenamed applicant and/or its authorised personnel to trade are known to me personally.

I hereby agree to abide by the Terms and Conditions in my Standard Remisiers' Agreement and/or the Remisiers' Guidelines and/or the Dealer's Agreement with Inter-Pacific Securities Sdn Bhd. I further agree and undertake to indemnify and keep Inter-Pacific Securities Sdn Bhd fully indemnified against any losses, damages, debts, charges and all other costs and expenses whatsoever incurred or suffered on which you may incur or suffer by you in relation to your opening of a Trading Account of the abovenamed applicant and the subsequent operation thereof.

\_\_\_\_\_  
Signature of Dealer's Representative

Name : \_\_\_\_\_

Dealer/Remisier Code : \_\_\_\_\_

Trading Limit  
Recommended : (RM) \_\_\_\_\_

Date : \_\_\_\_\_

**FOR OFFICE USE ONLY**

Related Account (If any) : \_\_\_\_\_

Date of update on authorised persons (attach docs.) for:

Dealing Instructions

CDS/Settlement Instructions

_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

Initial Approved Trading Limit \* : \_\_\_\_\_

Effective Date : \_\_\_\_\_

Reviewed by Credit  
Control Section : \_\_\_\_\_

Remarks : \_\_\_\_\_

Approved By : \_\_\_\_\_  
Authorised Signatory(ies)

Date : \_\_\_\_\_

\* Subject to change at the Company's discretion

Updated by CS Department : \_\_\_\_\_

Verified By : \_\_\_\_\_

Date : \_\_\_\_\_

Date : \_\_\_\_\_

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